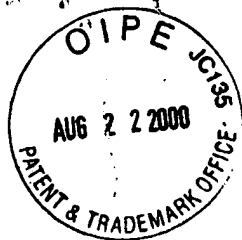


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**COMPLETED**

A33331 PCT USA -070180.0137

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gunther Fuhr et al.

Serial No. : 09/582,609

Filed : June 28, 2000

For : PROCESS AND DEVICES TO MEASURE, CALIBRATE,  
AND USE LASER TWEEZERS

**REQUEST FOR A REFUND**

I hereby certify that this paper is being  
deposited with the United States Postal Service  
as first class mail in an envelope addressed to:  
Commissioner of Patents and Trademarks,  
Washington, D.C. 20231 August 18, 2000  
Date of Deposit

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US PATENT & TRADEMARK  
OFFICE

Paul A. Ragusa  
Attorney Name

38,587  
PTO Reg. No.

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[Signature] August 18, 2000  
Signature Date of Signature

Assistant Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

Reference is made to the notice published January 20, 1983 at 1027 O.G.

115 entitled "Requirement for Filing of Verified Statements Claiming Small Entity

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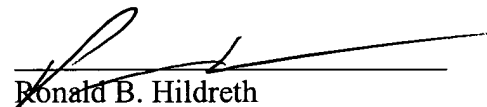
PATENT

Status". Enclosed herewith is a verified statement claiming small entity status for the above-identified application.

The enclosed statement bears the name of the inventors and title of invention. The undersigned attorney, as presently advised, states that the application as identified above on file in the United States Patent and Trademark Office is the application which the inventor executed by signing the enclosed statement.

A \$676.00 refund of one-half of the filing fee (\$1,352.00) paid in this application on June 28, 2000, is hereby respectfully requested as a credit to our Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

Respectfully submitted



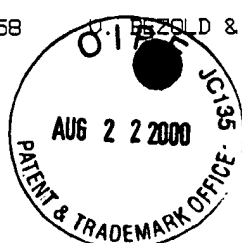
Ronald B. Hildreth  
Patent Office Reg. No. 19, 498

Paul A. Ragusa  
Patent Office Reg. No. 38,587

Attorney for Applicant  
(212) 408-2544

Enclosure

Baker Botts L.L.P.  
30 Rockefeller Plaza  
New York NY 10112



14 581/03

Attorney's Docket No. A333  
Baker Botts L.L.

Applicant or Patentee: Fuhr et al.

Serial or Patent No.: Filed or Issued:

For: PROCESSES AND DEVICES TO MEASURE, CALIBRATE, AND USE LASER TWEEZERS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
(37 CFR 1.9(d) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to  
act on behalf of the concern identified below:

NAME OF CONCERN EVOTEC BioSystems AG

ADDRESS OF CONCERN Schnackenburgallee 114, D-22525 Hamburg, Germany

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled PROCESSES AND DEVICES TO MEASURE, CALIBRATE, AND USE LASER TWEEZERS by inventor(s) Gunter Fuhr, Thomas Schnelle, Torsten Müller, Termino Hitzler, Karl-Otto Greulich and Shamci Monajem described in

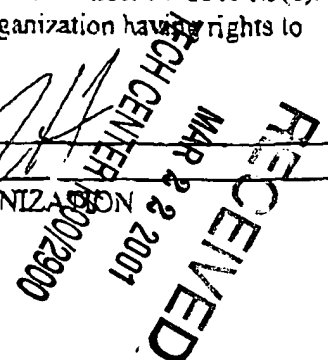
- ☐ the specification filed herewith  
☐ Application Serial No.     , filed       
☐ Patent No.     , issued

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which could not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).  
Note: Separate verified statements are required from each named person, concern or organization having rights to the invention availing to their status as small entities. (37 CFR 1.27)

NAME Dr. Karsten Henso

ADDRESS Schnackenburgallee 114, 22525 Hamburg

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION





Baker Botts L.L.P.

Attorney's Docket No. A33331

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Dr. Karsten HENCOTITLE OF PERSON OTHER THAN OWNER Chief Executive OfficerADDRESS OF PERSON SIGNING Schnackenburgallee 114, 22525 Hamburg, Germany

SIGNATURE \_\_\_\_\_

DATE

28.7.2000  
Hamburg

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Attorney Name PTO Reg. No.

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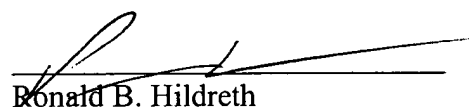
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